PTO/SB/08A (10-96)
Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|          |      |                  | U                       | .S. PATENT DOCUMENTS          |  |   |
|----------|------|------------------|-------------------------|-------------------------------|--|---|
| Examiner | Cite | U.S. Patent Docu |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines<br>Where Relevant |
| Initials | No.  | Number           | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear  |
|          |      | 3,868,956        |                         | Alfidi et al.                 | 03-04-1975                               |   |
|          |      | 4,300,244        |                         | Bokros                        | 11-17-1981                               |   |
|          |      | 4,323,071        |                         | Simpson et al.                | 05-06-1982                               |   |
|          |      | B1 4,323,071     |                         | Simpson et al.                | 05-29-1990                               |   |
|          |      | 4,332,254        |                         | Lundquist                     | 06-01-1982                               |   |
|          |      | 4,439,185        |                         | Lundquist                     | 03-27-1984                               |   |
|          |      | 4,468,224        |                         | Enzmann et al.                | 08-28-1984                               |   |
|          |      | 4,512,338        |                         | Balko et al.                  | 04-23-1985                               |   |
|          |      | 4,516,972        |                         | Samson                        | 05-14-1985                               |   |
|          |      | 4,538,622        |                         | Samson et al.                 | 09-03-1985                               |   |
|          |      | 4,554,929        |                         | Samson et al.                 | 11-26-1985                               |   |
|          |      | 4,569,347        |                         | Frisbie                       | 02-11-1986                               |   |
|          |      | 4,571,240        |                         | Samson et al.                 | 02-18-1986                               |   |
|          |      | 4,580,568        |                         | Gianturco                     | 04-08-1986                               |   |
|          |      | 4,616,652        |                         | Simpson                       | 10-14-1986                               |   |
|          |      | 4.655.771        |                         | Wallsten                      | 04-07-1987                               |   |

|          |      |        | F                     | OREIGN F                | PATENT DOCUMENTS              |  |   |    |
|----------|------|--------|-----------------------|-------------------------|-------------------------------|--|---|----|
| Examiner | Cite |        | Foreign Petent Docume | nt                      | Name of Petentee or Applicant | Dete of Publication of<br>Cited Document | Peges, Columns,<br>Lines,<br>Where Relevant | Γ. |
| Initials | No.  | Office | Number                | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Pesseges or Relevant<br>Figures Appear      |    |
|          | Ì    |        |                       |                         |                               |  |   | Г  |
|          |      |        |                       |                         |                               |  |   | T  |
|          |      |        |                       |                         |                               |  |   | Т  |

|                      |             | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  |     |
|----------------------|-------------|--|-----|
| Examiner<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), little of the article (when appropriate),<br>title of the item (book, magazine, journal, serial, symposiam, catalog, etc.),<br>date, pagedy, volume-bosu number(s), publisher, oily wardor county where published | Te. |
|                      |             |  |     |
|                      |             |  |     |
|                      |             |  |     |

| Examiner  | Date           |  |
|-----------|----------------|--|
| Signature | <br>Considered |  |
|           |                |  |

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known Substitute for form 1449A/PTO App No. 10/748,947 Filing Date: December 29, 2003 INFORMATION DISCLOSURE 1st Named Inventor: Mathew J. Fitz STATEMENT BY APPLICANT Group Art Unit: 3731 Examiner: Brian Pellegrino (use as many sheets as necessary) Docket No. ACSES 66148 (G1675USC2) 10 Sheet

|          | U.S. PATENT DOCUMENTS |                          |                         |   |  |   |  |  |  |  |
|----------|-----------------------|--------------------------|-------------------------|---|--|---|--|--|--|--|
| Examiner | Cite                  | U.S. Patent Document No. |                         | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document | Pages, Columns, Lines<br>Where Relevant |  |  |  |  |
| Initials | No.                   | Number                   | Kind Code (if<br>Known) | of Cred Document                                | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear  |  |  |  |  |
|          |                       | 4,733,665                |                         | Palmaz  | 03-29-1988                               |   |  |  |  |  |
|          |                       | B1 4,733,665             |                         | Palmaz  | 01-11-1994                               | 1                                       |  |  |  |  |
|          |                       | 4,739,762                |                         | Palmaz  | 04-26-1988                               |   |  |  |  |  |
|          |                       | 4,740,207                |                         | Kreamer   | 04-26-1988                               |   |  |  |  |  |
|          | Г                     | 4,748,982                |                         | Horzewski et al.                                | 06-07-1988                               |   |  |  |  |  |
|          |                       | 4,762,128                |                         | Rosenbluth                                      | 08-09-1988                               |   |  |  |  |  |
|          |                       | 4,790,315                |                         | Mueller, Jr. et al.                             | 12-13-1988                               |   |  |  |  |  |
|          |                       | 4,795,458                |                         | Regan   | 01-03-1989                               |   |  |  |  |  |
|          |                       | 4,800,882                |                         | Gianturco                                       | 01-31-1989                               |   |  |  |  |  |
|          |                       | 4,830,003                |                         | Wolff et al.                                    | 05-16-1989                               |   |  |  |  |  |
|          |                       | 4,878,906                |                         | Lindemann et al.                                | 11-07-1989                               |   |  |  |  |  |
|          |                       | 4,886,062                | T                       | Wiktor  | 12-12-1989                               |   |  |  |  |  |
|          |                       | 4,893,623                |                         | Rosenbluth                                      | 01-16-1990                               |   |  |  |  |  |
|          |                       | 4,907,336                |                         | Gianturco                                       | 03-13-1990                               |   |  |  |  |  |
|          |                       | 4.913.141                |                         | Hillstead                                       | 04-03-1990                               |   |  |  |  |  |

|          | FOREIGN PATENT DOCUMENTS |                         |        |                         |                               |                              |  |       |  |  |
|----------|--------------------------|-------------------------|--------|-------------------------|-------------------------------|------------------------------|--|-------|--|--|
| Examiner | Cite                     | Foreign Patent Document |        |                         | Name of Patentee or Applicant | Date of Publication of       | Pages, Columns,<br>Lines,                                | Τ.    |  |  |
| Initials | No.                      | Office                  | Number | Kind Code (if<br>Known) | of Cited Document             | Cited Document<br>MM-DD-YYYY | Where Relevent<br>Passeges or Relevent<br>Figures Appear | event |  |  |
|          |                          |                         |        |                         |                               |                              |  | L     |  |  |
|          |                          |                         |        |                         |                               |                              |  | Į     |  |  |
|          |                          |                         |        |                         |                               |                              |  | 1     |  |  |
|          |                          |                         |        |                         |                               |                              |  |       |  |  |

|                      | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |  |    |  |  |  |  |  |  |
|----------------------|---|--|----|--|--|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.                                       | Include name of the author (in CAPITAL LETTERS), little of the article (when appropriate), title of the item (book, magazine, journel, serial, symposium, catalog, etc.), date, page(e), volume-item unmber(e), publisher, city and/or country where published | T* |  |  |  |  |  |  |
|                      |   |  |    |  |  |  |  |  |  |
|                      |   |  |    |  |  |  |  |  |  |
|                      |   |  |    |  |  |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
|           |            |  |

PTO/SB/08A (10-96) ugh 10/31/99. OMB 0651-0031

Approved for use through 10/31/99. OMB 0651-003

|  |      | Patent and Trademark Office: U.S. DEPARTMENT OF COMMERC   |
|--|------|---|
| Substitute for form 1449A/PTO                    |      | Complete if Known   |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |      | App No. 10/748,947 Filing Date: December 29, 2003 1st Named Inventor: Mathew J. Fitz Group Art Unit: 3731 Examiner: Brian Pellegrino Docket No. ACSES 66148 (G1675USC2) |
| Sheet 3 of                                       | _10_ | · · · · · · · · · · · · · · · · · · ·   |
|  |      |   |

|          | U.S. PATENT DOCUMENTS |                          |                         |                               |  |  |  |  |  |  |
|----------|-----------------------|--------------------------|-------------------------|-------------------------------|--|--|--|--|--|--|
| Examiner | Cito                  | U.S. Patent Document No. |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |  |  |  |  |
| Initials | No.                   | Number                   | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |  |  |  |  |
|          |                       | 4,950,227                |                         | Savin et al.                  | 08-21-1990                               |  |  |  |  |  |
|          |                       | 4,969,458                |                         | Wiktor                        | 11-13-1990                               |  |  |  |  |  |
|          |                       | 4,969,890                |                         | Sugita et al.                 | 11-13-1990                               |  |  |  |  |  |
|          |                       | 4,990,155                |                         | Wilkoff                       | 02-05-1991                               | I  |  |  |  |  |
|          |                       | 4,998,539                |                         | Delsanti                      | 03-12-1991                               |  |  |  |  |  |
|          |                       | 5,002,560                |                         | Machold et al.                | 03-26-1991                               |  |  |  |  |  |
|          |                       | 5,026,377                |                         | Burton et al.                 | 06-25-1991                               |  |  |  |  |  |
|          |                       | 5,034,001                |                         | Garrison et al.               | 07-23-1991                               |  |  |  |  |  |
|          |                       | 5,035,706                |                         | Giantureo et al.              | 07-30-1991                               |  |  |  |  |  |
|          |                       | 5,037,392                |                         | Hillstead                     | 08-06-1991                               |  |  |  |  |  |
|          |                       | 5,037,427                |                         | Harada et al.                 | 08-06-1991                               |  |  |  |  |  |
|          | 1                     | 5,041,126                |                         | Gianturco                     | 08-20-1991                               |  |  |  |  |  |
|          | 1                     | 5,059,166                |                         | Fischell et al.               | 10-22-1991                               |  |  |  |  |  |
|          | 1                     | 5,061,275                |                         | Wallsten et al.               | 10-29-1991                               |  |  |  |  |  |
|          | $\top$                | 5 064 435                |                         | Porter                        | 11-12-1991                               |  |  |  |  |  |

|               |  |                         |        | FOREIGN P               | ATENT DOCUMENTS               |  |   |    |
|---------------|--|-------------------------|--------|-------------------------|-------------------------------|--|---|----|
| Exeminer Cite |  | Foreign Petent Document |        |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant | Γ, |
| Initials      | No.  | Office                  | Number | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Pesseges or Relevent<br>Figures Appear      | L  |
|               |  |                         |        |                         |                               |  |   |    |
|               |  |                         |        |                         |                               |  |   | ļ  |
|               | ļ  |                         |        |                         |                               |  | ļ   | 4  |
|               | <del>                                     </del> |                         |        | <del></del>             |                               |  | <u> </u>                                    | _  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Examiner<br>Initials                              |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |
| -   |  |  |  |  |  |  |  |

| Examiner  | Date           | i . |
|-----------|----------------|-----|
| Signature | <br>Considered |     |
|           |                |     |

PTO/SB/08A (10-96) gh 10/31/99. OMB 0651-0031

|                                   |           |         |    | Patent and Trademark Office: U.S. DEP                                | ARTMENT OF COMMERC |  |
|-----------------------------------|-----------|---------|----|--|--------------------|--|
| Substitute for form 1449APTO      |           |         |    | Complete if Known  |                    |  |
| advistible for form 1444          |           |         |    | App No. 10/748,947   |                    |  |
| INFORMAT                          | ION DISCI | OGLIDE  |    | Filing Date: December 29, 2003<br>1st Named Inventor: Mathew J. Fitz |                    |  |
|                                   |           |         |    |  |                    |  |
| STATEMEN                          | NI BY APP | -ICAN I |    | Group Art Unit: 3731   |                    |  |
| (use as many sheets as necessary) |           |         |    | Examiner: Brian Pellegrino<br>Docket No. ACSES 66148 (G1675USC2)     |                    |  |
| Sheet                             | 4         | of      | 10 |  |                    |  |
|                                   |           |         |    |  |                    |  |

| U.S. PATENT DOCUMENTS |      |                               |                         |                               |  |   |
|-----------------------|------|-------------------------------|-------------------------|-------------------------------|--|---|
| Examiner              | Cite | Cite U.S. Patent Document No. |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines<br>Where Relevant |
| Initials              | No.  | Number                        | Kind Gode (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear  |
|                       |      | 5,071,407                     |                         | Termin et al.                 | 12-10-1991                               |   |
|                       |      | 5,078,720                     |                         | Burton et al.                 | 01-07-1992                               |   |
|                       |      | 5,089,005                     |                         | Harada                        | 02-18-1992                               |   |
|                       | 1    | 5,089,006                     |                         | Stiles                        | 02-18-1992                               |   |
|                       |      | 5,092,877                     |                         | Pinchuk                       | 03-03-1992                               |   |
|                       |      | 5,102,417                     |                         | Palmaz                        | 04-07-1992                               |   |
|                       |      | 5,108,416                     |                         | Ryan et al.                   | 04-28-1992                               |   |
|                       |      | 5,123,917                     |                         | Lee `                         | 06-23-1992                               |   |
|                       |      | 5,133,732                     |                         | Wiktor                        | 07-28-1992                               |   |
|                       |      | 5,135,517                     |                         | McCoy                         | 08-04-1992                               |   |
|                       |      | 5,137,513                     | ~                       | McInnes et al.                | 08-11-1992                               |   |
|                       |      | 5,158,548                     |                         | Lau et al.                    | 10-27-1992                               |   |
|                       | T    | 5,163,952                     |                         | Froix                         | 11-17-1992                               |   |
|                       |      | 5,163,958                     |                         | Pinchuk                       | 11-17-1992                               |   |
|                       |      | 5 171 262                     |                         | MacGregor                     | 12-15-1992                               |   |

|          |      |                         |        | FOREIGN P               | ATENT DOCUMENTS               |  |   |   |
|----------|------|-------------------------|--------|-------------------------|-------------------------------|--|---|---|
| Examiner | Cite | Foreign Patent Document |        |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant | Ţ |
| Initials | No.  | Office                  | Number | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Pessages or Relevant<br>Figures Appear      |   |
|          |      |                         |        |                         |                               | l  |   | L |
|          |      |                         |        |                         |                               | ļ  |   | ╀ |
|          |      | -                       |        |                         |                               |  |   | ╁ |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |             |   |    |  |  |
|---|-------------|---|----|--|--|
| Examiner<br>Initials                              | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), titls of the article (when appropriate),<br>title of the item (book, magazine, pournal, seetal, symposium, catalog, etc.),<br>date, page(s), volume-sizen enmberts), publisher, cyl arafor contrally where published | T* |  |  |
|   |             |   |    |  |  |
|   |             |   |    |  |  |
|   |             |   |    |  |  |

| Examiner  | <br>Date       |  |
|-----------|----------------|--|
| Signature | <br>Considered |  |

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

|                               |         |        |    | Patent and Trademark Office: U.S. DEPAR   | MENT OF COMMERCI |
|-------------------------------|---------|--------|----|---|------------------|
| Substitute for form 1449A/PTO |         |        |    | Complete if Known   |                  |
| INFORMATIO<br>STATEMENT       | N DISCL | LICANT |    | App No. 10/748,947 Filing Date: December 29, 2003 1st Named Inventor: Mathew J. Fitz Group Art Unit: 3731 Examiner: Brian Pellegrino Docket No. ACSES 66148 (G1675USC2) |                  |
| Sheet                         | 5       | of     | 10 | ·   |                  |
|                               |         |        |    |   |                  |

| U.S. PATENT DOCUMENTS |      |                |                         |                               |  |  |
|-----------------------|------|----------------|-------------------------|-------------------------------|--|--|
| Examiner              | Cite | U.S. Patent Do | cument No.              | Neme of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
| Initiats              | No.  | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|                       |      | 5,183,085      |                         | Timmermans                    | 02-02-1993                               |  |
|                       |      | 5,192,297      |                         | Huli                          | 03-09-1993                               |  |
|                       |      | 5,197,978      |                         | Hess                          | 03-30-1993                               |  |
|                       |      | 5,222,964      |                         | Cooper                        | 06-29-1993                               |  |
|                       |      | 5,222,969      |                         | Gillis                        | 06-29-1993                               |  |
|                       |      | 5,222,971      |                         | Willard et al.                | 06-29-1993                               |  |
|                       | 1    | 5,226,913      |                         | Pinchuk                       | 07-13-1993                               |  |
|                       |      | 5,242,451      |                         | Harada et al.                 | 09-07-1993                               |  |
|                       |      | 5,256,146      |                         | Ensminger et al.              | 10-26-1993                               |  |
|                       |      | 5,258,020      |                         | Froix                         | 11-02-1993                               |  |
|                       |      | 5,263,964      |                         | Purdy                         | 11-23-1993                               |  |
|                       |      | 5,282,823      |                         | Schwartz et al.               | 02-01-1994                               |  |
|                       |      | 5,282,824      |                         | Gianturco                     | 02-01-1994                               |  |
|                       |      | 5,300,085      |                         | Yock                          | 04-05-1994                               |  |
|                       |      | 5,304,200      |                         | Spaulding                     | 04-19-1994                               |  |

|          |      |                         |        | FOREIGN P               | ATENT DOCUMENTS               |  |   |    |
|----------|------|-------------------------|--------|-------------------------|-------------------------------|--|---|----|
| Examiner | Cito | Foreign Patent Document |        |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevent | Ι, |
| Initiels | No.  | Office                  | Number | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Pessages or Relevent<br>Figures Appear      |    |
|          |      |                         |        |                         |                               |  |   |    |
|          |      |                         |        |                         |                               |  |   | L  |
|          |      |                         |        |                         |                               |  |   | Ł  |
|          | 1    | 1 1                     |        | 1                       |                               |  |   | L  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS   |  |  |   |  |  |
|---|--|--|---|--|--|
| Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, sensist, symposium, catalog, etc.). No. data, pages(s), volume-sleve, marrabe(s), publisher, object where published |  |  |   |  |  |
|   |  |  |   |  |  |
|   |  |  |   |  |  |
|   |  |  | 1 |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

of I 10

6

| Complete if Known                   |  |
|-------------------------------------|--|
| App No. 10/748,947                  |  |
| Filing Date: December 29, 2003      |  |
| -1st Named Inventor: Mathew J. Fitz |  |
| -Group Art Unit: 3731               |  |
| Examiner: Brian Pellegrino          |  |
| Docket No. ACSES 66148 (G1675USC2)  |  |

U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear Date of Publication of Cited Document MM-DD-YYYY U.S. Patent Document No. Examiner Cite e of Patentee or Appli of Cited Document Initials Kind Code (if Number 04-26-1994 5.306.294 Winston et al. Sona 07-19-1994 5,330,500 10-11-1994 5.354.308 Simon et al. Schnepp-Pesch et al. 10-11-1994 5,354,309 5.356.423 Tihon et al. 10-18-1994 5,372,600 Beyar et al. 12-13-1994 01-03-1995 5.378.239 Termin et al. Williams et al. 02-21-1995 5,391,172 03-07-1995 5.395.390 Simon et al. 5,403,341 Solar 04-04-1995 04-11-1995 5.405.377 Cragg 5,411,507 Heckele 05-02-1995 Pinchuk 05-16-1995 5.415.664 Lau et al. 06-06-1995 5,421,955 B1 5,421,955 Lau et al. 01-20-1998

|          |      |        |                      | FOREIGN P              | ATENT DOCUMENTS               |  |   |   |
|----------|------|--------|----------------------|------------------------|-------------------------------|--|---|---|
| Examiner | Cite |        | Foreign Patent Docum | nent                   | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant |   |
| Initials | No.  | Office | Number               | Kind Code (f<br>Known) | of Cited Document             | MM-DD-YYYY                               | Pessages or Relevant<br>Figures Appear      | L |
|          |      |        |                      |                        |                               |  |   | L |
|          |      |        |                      |                        |                               |  |   | Ļ |
|          |      |        |                      |                        |                               |  |   | ╀ |

|                      |             | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS   |   |
|----------------------|-------------|---|---|
| Examiner<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate),<br>title of the item (book, magazine, journal, serial, symposium, catalog, etc.),<br>date, pageds, volume-issue number(s), publisher, oly andior coursy where published | T |
|                      |             |   |   |
|                      |             |   |   |
|                      |             |   |   |

| Examiner  | Date       |  |  |
|-----------|------------|--|--|
| Signature | Considered |  |  |
|           |            |  |  |

|                           |                     |           |    | Approved for use through<br>Patent and Trademark Office: U.S. DEPA                     | 10/31/99. OMB 0651-003<br>RTMENT OF COMMERC |
|---------------------------|---------------------|-----------|----|--|---|
| Substitute for form 1449A | /PTO                |           |    | Complete if Known  |   |
| INFORMATI                 |                     | OSURE     | •  | App No. 10/748,947  Filing Date: December 29, 2003  1st Named Inventor: Mathew J. Fitz |   |
| STATEMEN                  | T BY APPI           | LICANT    | •  | Group Art Unit: 3731   |   |
| (use                      | as many sheets as n | ecessary) |    | -Examiner: Brian Pellegrino<br>- Docket No. ACSES 66148 (G1675USC2)                    |   |
| Sheet                     | 7                   | of        | 10 | - TOTAL TRES 18 18 (G10756362)   |   |
|                           |                     |           |    |  |   |

| Examiner | Cite | U.S. Patent Doo | ument No.               | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
|----------|------|-----------------|-------------------------|-------------------------------|--|--|
| Initials | No.  | Number          | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|          |      | 5,433,496       |                         | Schwartz et al.               | 08-22-1995                               |  |
|          |      | 5,447,503       |                         | Miller                        | 09-05-1995                               |  |
|          |      | 5,449,373       |                         | Pinchasik et al.              | 09-12-1995                               |  |
|          |      | 5,453,090       |                         | Martinez et al.               | 09-26-1995                               |  |
|          |      | 5,456,694       |                         | Marin et al.                  | 10-10-1995                               |  |
|          |      | 5,458,615       |                         | Klemm et al.                  | 10-17-1995                               |  |
|          |      | 5,478,349       |                         | Nicholas                      | 12-26-1995                               |  |
|          |      | 5,484,444       |                         | Braunschweiler et al.         | 01-16-1996                               |  |
|          |      | 5,496,277       | 1                       | Termin et al.                 | 03-05-1996                               |  |
|          |      | 5,496,346       |                         | Horzewski et al.              | 03-05-1996                               |  |
|          |      | 5,507,767       |                         | Maeda et al.                  | 04-16-1996                               |  |
|          |      | 5,514,154       |                         | Lau et al.                    | 05-07-1996                               |  |
|          | T    | 5,522,883       |                         | Slater et al.                 | 06-04-1996                               |  |
|          | T    | 5,534,007       |                         | St. Germain et al.            | 07-09-1996                               |  |
|          |      | E EE A 404      |                         | Doc                           | 00-10-1996                               |  |

|          |      |        |                      | <b>FOREIGN P</b>        | ATENT DOCUMENTS               |  |   |    |
|----------|------|--------|----------------------|-------------------------|-------------------------------|--|---|----|
| Examiner | Cite |        | Foreign Patent Docum | ment                    | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant | ١, |
| Initials | No.  | Office | Number               | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      | L  |
|          |      |        |                      |                         |                               |  |   | L  |
|          |      |        |                      |                         |                               |  |   | L  |
|          |      |        |                      |                         |                               | ļ  |   | ┞  |

|                      |             | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  |   |
|----------------------|-------------|--|---|
| Examiner<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, paged, wolume-issue nambe(s), bublisher, orb and/or catalog, which reside nambe(s), bublisher, orb and/or catalog, when published | Т |
|                      |             |  |   |
|                      |             |  |   |
|                      |             |  |   |

| Examiner  | Date     |    |
|-----------|----------|----|
| Signature | Consider | ed |

|                          |       |       |    | PTO/SB/09A Approved for use through 10/31/99. OMB 055 Patent and Trademark Office: U.S. DEPARTMENT OF COM   | 1-0031 |
|--------------------------|-------|-------|----|---|--------|
| Substitute for form 1449 | A/PTO |       |    | Complete if Known   |        |
| INFORMAT<br>STATEMEN     |       | ICANT |    | App No. 10/748,947 Filing Date: December 29, 2003 Ist Named Inventor: Mathew J. Fitz Group Art Unit: 3731 Examiner: Brian Pellegrino Docket No. ACSES 66148 (G1675USC2) |        |
| Sheet                    | 8     | of    | 10 | 10011   |        |
|                          |       |       |    |   |        |

|                      |      |                | U                       | .S. PATENT DOCUMENTS          |  |  |
|----------------------|------|----------------|-------------------------|-------------------------------|--|--|
| Examiner<br>Initials | Cite | U.S. Patent Do |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
|                      | No.  | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|                      |      | 5,569,295      |                         | Lam                           | 10-29-1996                               |  |
|                      |      | 5,571,135      |                         | Fraser et al.                 | 11-05-1996                               |  |
|                      |      | 5,571,168      |                         | Toro                          | 11-05-1996                               | 1  |
|                      |      | 5,603,721      |                         | Lau et al.                    | 02-18-1997                               | T  |
|                      |      | 5,626,600      |                         | Horzewski et al.              | 05-06-1997                               |  |
|                      | T    | 5,634,928      |                         | Fischell et al.               | 06-03-1997                               |  |
|                      |      | 5,653,727      |                         | Wiktor                        | 08-05-1997                               |  |
|                      |      | 5,728,158      |                         | Lau et al.                    | 03-17-1998                               |  |
|                      | 1    | 5,755,777      |                         | Chuter                        | 05-26-1998                               |  |
|                      |      | 5,759,192      |                         | Saunders                      | 06-02-1998                               |  |
|                      |      | 5,788,707      |                         | Del Toro et al.               | 08-04-1998                               |  |
|                      |      | 5,827,321      |                         | Roubin et al.                 | 10-27-1998                               |  |
|                      | 1    | 5,836,965      |                         | Jendersee et al.              | 11-17-1998                               |  |
|                      | T    | 5,843,117      |                         | Alt et al.                    | 12-01-1998                               |  |
|                      |      | 5.888,201      |                         | Stinson et al.                | 03-30-1999                               |  |

|          |      |        |                      | FOREIGN P               | ATENT DOCUMENTS               |  |   |        |
|----------|------|--------|----------------------|-------------------------|-------------------------------|--|---|--------|
| Examiner | Cite |        | Foreign Patent Docum | nent                    | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant | Γ.     |
| Initials | No.  | Office | Number               | Kind Code (If<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      | Ľ      |
|          |      |        |                      |                         |                               |  |   | L      |
|          |      |        |                      |                         |                               | ļ  |   | +      |
|          | _    |        |                      |                         |                               | -  |   | $^{+}$ |

|                      |             | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS   |  |
|----------------------|-------------|---|--|
| Examiner<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate),<br>title of the term (book, magazine, journal, serial, symposium, catalog, etc.),<br>date, page(s), volume-issue number(s), publisher, city andero coursy where published |  |
|                      |             |   |  |
|                      |             |   |  |
|                      |             |   |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

PTO/SB/08A (10-95)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Complete if Known Substitute for form 1449A/PTO App No. 10/748,947 Filing Date: December 29, 2003 INFORMATION DISCLOSURE 1st Named Inventor: Mathew J. Fitz STATEMENT BY APPLICANT Group Art Unit: 3731 Examiner: Brian Pellegrino Docket No. ACSES 66148 (G1675USC2) Sheet 9 of 10

| Examiner | Cite | U.S. Patent Do |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
|----------|------|----------------|-------------------------|-------------------------------|--|--|
| Initials | No.  | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|          |      | 5,910,145      |                         | Fischell et al.               | 06-08-1999                               |  |
|          |      | 5,925,061      |                         | Ogi et al.                    | 07-20-1999                               |  |
|          |      | 5,931,867      |                         | Haindl                        | 08-03-1999                               |  |
|          |      | 5,944,726      |                         | Blaeser et al.                | 08-31-1999                               |  |
|          |      | 5,954,764      |                         | Parodi                        | 09-21-1999                               |  |
|          |      | 5,968,069      |                         | Dusbabek et al.               | 10-19-1999                               |  |
|          |      | 5,980,533      |                         | Holman                        | 11-09-1999                               |  |
|          |      | 5,989,280      |                         | Euteneuer et al.              | 11-23-1999                               |  |
|          | 1    | 6,001,123      |                         | Lau                           | 12-14-1999                               |  |
|          |      | 6,019,778      |                         | Wilson et al.                 | 02-01-2000                               |  |
|          |      |                |                         |                               |  |  |
|          |      |                |                         |                               |  |  |
|          |      |                |                         |                               |  |  |
|          | Τ"   |                |                         |                               |  |  |

|                   |                         |        |                      | FOREIGN P               | ATENT DOCUMENTS               |  |   |    |
|-------------------|-------------------------|--------|----------------------|-------------------------|-------------------------------|--|---|----|
| Exeminer Cite No. | niner Cite Foreign Pate |        | Foreign Patent Docum | nent                    | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Peges, Columns,<br>Lines,<br>Where Relevant | Γ. |
|                   | No.                     | Office | Number               | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      | Ľ  |
|                   |                         |        |                      |                         |                               |  |   |    |
|                   |                         |        |                      |                         |                               |  |   | L  |
|                   | _                       |        |                      |                         | 1                             |  |   | ╀  |

|                      |  | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |  |  |  |  |
|----------------------|--|---|--|--|--|--|
| Examiner<br>Initials |  |   |  |  |  |  |
|                      |  |   |  |  |  |  |
|                      |  |   |  |  |  |  |
|                      |  |   |  |  |  |  |

| Examiner  | Date           | 1 |
|-----------|----------------|---|
| Signature | <br>Considered |   |
|           | <br>           | _ |

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

4,655,771

of 10

| Complete it Known                  |  |
|------------------------------------|--|
| App No. 10/748,947                 |  |
| Filing Date: December 29, 2003     |  |
| 1st Named Inventor: Mathew J. Fitz |  |
| Group Art Unit: 3731               |  |
| Examiner: Brian Pellegrino         |  |

04-07-1987

Docket No. ACSES 66148 (G1675USC2)

**U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear U.S. Patent Document No. Date of Publication of Cited Document MM-DD-YYYY Examiner Initials Cite No. Name of Patentee or Applicant of Cited Document Kind Code (if Number 3,868,956 Alfidi et al. 03-04-1975 Bokros 4.300.244 11-17-1981 4,323,071 Simpson et al. 05-06-1982 B1 4,323,071 Simpson et al. 05-29-1990 4.332.254 Lundquist 06-01-1982 4,439,185 Lundquist 03-27-1984 4,468,224 Enzmann et al. 08-28-1984 4,512,338 Balko et al. 04-23-1985 4,516,972 Samson 05-14-1985 4.538.622 Samson et al. 09-03-1985 Samson et al. 4,554,929 11-26-1985 4.569.347 Frisbie 02-11-1986 02-18-1986 4,571,240 Samson et al. 4,580,568 Gianturco 04-08-1986 4.616.652 Simpson 10-14-1986

|                   |        |                      | FOREIGN F               | PATENT DOCUMENTS              |  |   |   |
|-------------------|--------|----------------------|-------------------------|-------------------------------|--|---|---|
| Examiner Cite No. |        | Foreign Patent Docum | nent                    | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Peges, Columns,<br>Lines,<br>Where Relevant | Γ |
|                   | Office | Number               | Kind Code (If<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      |   |
|                   |        |                      |                         |                               |  |   | I |
|                   |        |                      |                         |                               |  |   | T |

Wallsten

|                      | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |   |   |  |  |  |  |
|----------------------|---|---|---|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.                                       | Include name of the author (in CAPITAL LETTERS), title of the stricte (when appropriate),<br>title of the item (book, magazine, journal, serial, symposium, catelog, etc.),<br>date, pagele), volume-issue number(s), publisher, day and/or country where published | T |  |  |  |  |
|                      |   |   |   |  |  |  |  |
|                      |   |   |   |  |  |  |  |
|                      |   |   |   |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

PTO/SB/09A (10-96) Approved for use through 10/31/99. OMB 0651-0031

|                             |                     |          |   | Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE |  |  |  |  |
|-----------------------------|---------------------|----------|---|--|--|--|--|--|
| Substitute for form 1449A/F | то                  |          |   | Complete if Known  |  |  |  |  |
|                             |                     |          |   | App No. 10/748,947                                       |  |  |  |  |
| INFORMATION                 | DISCLOSUF           | RΕ       |   | Filing Date: December 29, 2003                           |  |  |  |  |
| STATEMENT B                 | Y APPLICAN          | IT       |   | 1st Named Inventor: Mathew J. Fitz                       |  |  |  |  |
|                             |                     |          |   | Group Art Unit: 3731                                     |  |  |  |  |
| (use a                      | s many sheets as ne | cessary) |   | Examiner: Brian Pellegrino                               |  |  |  |  |
|                             |                     |          |   | Docket No. ACSES 66148 (G1675USC2)                       |  |  |  |  |
| Sheet                       | 2                   | of       | 2 | 200000000000000000000000000000000000000                  |  |  |  |  |

|  |      |                          | U                       | I.S. PATENT DOCUMENTS         |  |  |
|--|------|--------------------------|-------------------------|-------------------------------|--|--|
|  | Cite | U.S. Patent Document No. |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
|  | No.  | Number                   | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|  |      | 4,665,906                |                         | Jervis                        | 05-19-1987                               |  |
|  |      | 4,865,516                |                         | Hillstead                     | 08-15-1989                               |  |
|  |      | 5,292,331                |                         | Boneau                        | 03-08-1994                               |  |
|  |      | 5,907,893                |                         | Zadno-Azizi et al.            | 06-01-1999                               |  |
|  | Γ-   |                          |                         |                               |  |  |
|  | -    | ļ                        |                         |                               |  |  |

|                               |      |        | F   | OREIGN F   | PATENT DOCUMENTS  |  |   |   |
|-------------------------------|------|--------|---|--|-------------------|--|---|---|
| Examiner Cite<br>Initiels No. | Cite |        | Foreign Patent Document Name of Patentee or App | Cite Foreign Patent Document Name of Patentee or Applicant |                   | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevent | Ę |
|                               |      | Office | Number  | Kind Code (if<br>Known)                                    | of Cited Document | MM-DD-YYYY                               | Pessages or Relevent<br>Figures Appear      | Ľ |
|                               |      |        |   |  |                   |  |   |   |
|                               |      |        |   |  |                   |  |   | L |
|                               |      |        |   |  |                   |  |   | L |
|                               |      |        | · ·   |  |                   |  | 1   | ı |

| Examiner<br>Initials | Cite<br>No. | include name of the author (in CAPITAL LETTERS), talk of the criticle (when appropriate),<br>till of the item (book, magazine, journal, serial, symposium, catalog, etc.),<br>date, page(s), volume-issue number(s), publisher, cily endfor country where published |  |  |  |  |  |  |
|----------------------|-------------|---|--|--|--|--|--|--|
|                      | ,           |   |  |  |  |  |  |  |
|                      | -           |   |  |  |  |  |  |  |
|                      | _           |   |  |  |  |  |  |  |
|                      | 19          |   |  |  |  |  |  |  |
|                      | _           |   |  |  |  |  |  |  |
|                      |             | Rosch, Josef, et al., GIANTURCO EXPANDABLE STENTS IN EXPERIMENTAL AND CLINICAL USE, pp. 121-124, Twelfth Annual Course on "Diagnostic Angiography and Interventional Radiology" March 23-26, 1987, San Diego, California  |  |  |  |  |  |  |

| Examiner  |   | Date       |  |
|-----------|---|------------|--|
| Signature | · | Considered |  |

PTO/SB/08A (10-96)
Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449A/F | то                  |         |   | Complete if Known                  |  |  |  |
|-----------------------------|---------------------|---------|---|------------------------------------|--|--|--|
|                             |                     |         |   | App No. 10/748,947                 |  |  |  |
| INFORMATIC                  | N DISCLO            | SUR     | F | Filing Date: December 29, 2003     |  |  |  |
| STATEMENT                   |                     |         |   | 1st Named Inventor: Mathew J. Fitz |  |  |  |
| STATEMENT                   | DIAFFL              | CAI     | • | Group Art Unit: 3731               |  |  |  |
| (use a                      | s many sheets as ne | essary) |   | -Examiner: Brian Pellegrino        |  |  |  |
|                             |                     |         |   | Docket No. ACSES 66148 (G1675USC2) |  |  |  |
| Sheet                       | 1                   | _of_    | 2 |                                    |  |  |  |

| U.S. PATENT DOCUMENTS |      |                          |                         |                               |  |  |  |
|-----------------------|------|--------------------------|-------------------------|-------------------------------|--|--|--|
| Examiner              | Cite | U.S. Patent Document No. |                         | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |  |
| Initials              | No.  | Number                   | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |  |
|                       |      | 5,067,957                |                         | Jervis                        | 11-26-1991                               |  |  |
|                       |      | 5,190,546                |                         | Jervis                        | 03-02-1993                               |  |  |
|                       |      | 5,597,378                |                         | Jervis                        | 01-28-1997                               |  |  |
| 4                     |      | 5,667,522                |                         | Flomenblit et al.             | 09-16-1997                               |  |  |
|                       | Γ    | 5,902,317                |                         | Kleshinski et al.             | 05-11-1999                               |  |  |
|                       |      |                          |                         |                               |  |  |  |

| FOREIGN PATENT DOCUMENTS |      |                         |            |                         |                                    |  |   |    |
|--------------------------|------|-------------------------|------------|-------------------------|------------------------------------|--|---|----|
| Exeminer                 | Cite | Foreign Patent Document |            |                         | Neme of Patentee or Applicant      | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevent | T, |
| Initials                 | No.  | Office                  | Number     | Kind Code (if<br>Known) | of Cited Document                  | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      | Ľ  |
|                          | v'   | EPO                     | 873 734 A2 |                         | Nitinol Development<br>Corporation | 10-28-1998                               |   |    |
|                          |      |                         |            |                         |                                    |  |   | ╀  |
|                          |      |                         | -          | 1                       |                                    |  |   | ╀  |

|                      | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |  |                |  |  |  |  |  |
|----------------------|---|--|----------------|--|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.                                       | include name of the author (in CAPITAL LETTERS), title of the article (when appropriete),<br>title of the item (book, magazine, journal, serial, symposium, catalog, etc.),<br>date, pagedy, sydume-seva-mamber(s), publisher, city endired county where published | T <sup>6</sup> |  |  |  |  |  |
|                      |   | Charnsangavej, Chuslip, M.D., et al., STENOSIS OF THE VENA CAVA: PRELIMINARY ASSESSMENT OF TREATMENT WITH EXPANDABLE METALLIC STENTS, Radiology, pp. 295-298, Volume 161, November 1986  |                |  |  |  |  |  |
|                      |   | Rösch, Josef, M.D., et al., EXPERIMENTAL INTRAHEPATIC PORTACAVAL<br>ANASTOMOSIS: USE OF EXPANDABLE GIANTURCO STENTS, Radiology, pp. 481-<br>485, Volume 162, No. 2, 1987   |                |  |  |  |  |  |
|                      |   | Rösch, Josef, M.D., et al., MODIFIED GIANTURCO EXPANDABLE WIRE STENTS IN EXPERIMENTAL AND CLINICAL USE, <u>Annales de Radiologie</u> , pp. 100-103, Volume 31, November 2/19   |                |  |  |  |  |  |
|                      |   | Lawrence, David D., Jr., et al., PERCUTANEOUS ENDOVASCULAR GRAFT: EXPERIMENTAL EVALUATION, Radiology, pp. 357-360. Volume 163, May 1987  |                |  |  |  |  |  |
|                      |   |  |                |  |  |  |  |  |

| Examiner  | Date       | Œ |
|-----------|------------|---|
| Signature | Considered |   |

PTO/SB/08A (10-96) Approved for use through 10/31/99. OMB 0651-0031

|                    |   |                    |      |    | Patent and Trademark Office: U.S. DEPAF  |  |
|--------------------|---|--------------------|------|----|--|--|
| Substitute for for | n 1449A/P   | го                 |      |    | Complete if Known  |  |
| INFORM             | IATIO   | N DISCL<br>BY APPI |      |    | App No. 10/748,947 Filing Date: December 29, 2003 T1st Named Inventor: Mathew J. Fitz Group Art Unit: 3731 |  |
| Sheet              | (use as many sheets as necessary)  Sheet 10 of 10 |                    |      |    | Examiner: Brian Pellegrino Docket No. ACSES 66148 (G1675USC2)  |  |
| Sileet             |   | 10                 | 1 01 | 10 |  |  |
|                    |   |                    |      |    |  |  |

|          | U.S. PATENT DOCUMENTS |                |                         |                               |  |  |  |  |
|----------|-----------------------|----------------|-------------------------|-------------------------------|--|--|--|--|
| Examiner | Cite                  | U.S. Patent Do | current No.             | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |  |  |
| Initials | No.                   | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |  |  |
|          |                       |                |                         |                               |  |  |  |  |
|          |                       |                |                         |                               |  |  |  |  |
|          |                       |                |                         |                               |  |  |  |  |
|          |                       |                |                         |                               |  |  |  |  |
|          |                       |                |                         |                               |  |  |  |  |
|          | $\overline{}$         |                |                         |                               |  |  |  |  |

| FOREIGN PATENT DOCUMENTS |      |                         |              |                            |                               |                                 |  |    |
|--------------------------|------|-------------------------|--------------|----------------------------|-------------------------------|---------------------------------|--|----|
| Exeminer                 | Cite | Foreign Petent Document |              |                            | Name of Patentee or Applicant | Dete of Publication             | Pages, Columns,<br>Lines,                                | Γ, |
| Initials                 | No.  | Office                  | Number       | Kind<br>Code (if<br>Known) | of Cited Document             | of Cited Document<br>MM-DD-YYYY | Where Relevant<br>Pessages or Relevant<br>Figures Appear | ľ  |
|                          | -    | EP                      | 0 556 940 A1 |                            | Fischell                      | 08-25-1993                      |  | Γ  |
|                          |      | PCT                     | WO 95/33422  |                            | Meadox Medicals, Inc          | 12-14-1995                      |  | Т  |
|                          |      | PCT                     | WO 96/39998  |                            | Scimed Life Systems           | 12-19-1996                      |  | L  |
|                          |      | PCT                     | WO 98/22159  |                            | Medtronic Instent, Inc.       | 05-28-1998                      |  | Ι  |
|                          |      | PCT                     | WO 98/53759  |                            | Yadav                         | 12-03-1998                      |  | Г  |

|                      | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |  |    |  |  |  |  |
|----------------------|---|--|----|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.                                       | Include name of the author (in CAPITAL LETTERS), tills of the erticle (when appropriate), tills of the stem (book, magazine, [curan], serial, symposium, calatog, etc.), data, page(c), nother-issues marther(s), publisher, of another country interest published | T* |  |  |  |  |
|                      |   |  |    |  |  |  |  |
|                      |   |  | t  |  |  |  |  |
|                      |   |  | -  |  |  |  |  |

| Examiner Signature Dat | ed |
|------------------------|----|
|------------------------|----|

PTO/SB/08A (10-96)
Approved for use through 10/31/99, OMB 0651-0031
tent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|                           |     |       |        | Patent and Trudemark Office: U.S. DEPARTMENT OF COMMERCE  Complete if Known  |
|---------------------------|-----|-------|--------|--|
| Substitute for form 1449A | PTO |       |        | App No. 10/748,947   |
| INFORMATION STATEMENT     |     | ICANT |        | Filing Date: December 29, 2003 -Ist Named Inventor: Mathew J. Fitz -Group Art Unit: 3731 -Examiner: Brian Pellegrino -Docket No. ACSES 66148 (G1675USC2) |
| Sheet                     | 11  | of    | 1_     | Assumey Docket number  |
|                           |     |       | U.S. P | ATENT DOCUMENTS  |

|                   |        |                |                         | I.S. PATENT DOCUMENTS         |  | Pages, Columns, Lines,                 |
|-------------------|--------|----------------|-------------------------|-------------------------------|--|--|
| Examiner Cite No. | Cite   | U.S. Patent Do | cument No.              | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Where Relevant                         |
|                   | No.    | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear |
|                   | Γ.     | 5,507,768      |                         | Lau et al.                    | 04/26/1996                               |  |
|                   | Τ-     | 5,759,186      |                         | Bachmann et al.               | 06/02/1998                               |  |
|                   |        |                |                         |                               |  | <u> </u>                               |
|                   |        |                |                         |                               |  |  |
|                   | $\Box$ |                |                         |                               |  |  |
|                   | T      |                |                         |                               |  |  |
|                   |        |                |                         |                               |  |  |
|                   |        |                |                         |                               |  |  |

|          |          |  | FO          | REIGN F                 | PATENT DOCUMENTS               |  |   |          |
|----------|----------|--|-------------|-------------------------|--------------------------------|--|---|----------|
| Examiner | Cite     |  |             | Foreign Patent Document |                                | Date of Publication of<br>Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant | т        |
| Initials | No.      | Office   | Number      | Kind Code<br>(if Known) | of Cited Document              | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear      |          |
|          | 1        | PCT  | WO 98/52496 |                         | Biocompatibles<br>Limited (GB) | 11/26/1998                               |   | Y        |
|          |          | ļ  |             |                         |                                |  |   | $\vdash$ |
|          |          |  |             |                         |                                |  |   | $\vdash$ |
|          |          |  |             |                         |                                |  |   | 1        |
|          | $\vdash$ | 1  |             | <del> </del>            |                                |  |   | +        |
|          | +        | <del>                                     </del> | -           | +-                      |                                | <b>—</b>                                 |   | T        |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |             |   |  |  |  |
|---|-------------|---|--|--|--|
| Examiner<br>Initials                              | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate),<br>tilled of the item (book, magazene, purents serial, symposium, catalog, etc.),<br>date, page(s), volume-issue number(s), publisher, oty and/or country where published |  |  |  |
|   |             |   |  |  |  |
|   |             |   |  |  |  |
|   |             |   |  |  |  |
|   |             |   |  |  |  |

| Examiner  | Date       |      |
|-----------|------------|------|
| Signature | Considered | 14.9 |

PTO/SB/08A (10-96) Approved for use through 10/31/99, OMB 0651-0031

| Substitute |            |   |           |        |   | and Trademark Office: U.S. DEP           | ARTMENT OF COMMERCE                      |
|------------|------------|---|-----------|--------|---|--|--|
| INFO       | RMA<br>EME | TION DISCLE  NT BY APPL  (use as many sheets as n | LICANT    |        | App No. 10/748,947 Filing Date: December 1st Named Inventor: -Group Art Unit: 373: -Examiner: Brian Pell Docket No. ACSES 6 | er 29, 2003<br>Mathew J. Fitz<br>I       |  |
| Sheet      |            | 1   | of        | 1      |   | -  |  |
|            | _          |   |           | U.S. P | ATENT DOCUMENTS   |  |  |
| Examiner   | Cite       | U.S. Patent Door                                  | ument No. |        | Name of Patentee or Applicant   | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |

|  |      |                | U                       | .S. PATENT DOCUMENTS          |  |  |
|--|------|----------------|-------------------------|-------------------------------|--|--|
|  | Cite | U.S. Patent Do | cument No.              | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant |
|  | No.  | Number         | Kind Code (if<br>Known) | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear   |
|  |      | 5,853,419      |                         | Imran                         | 12/29/1998                               |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |
|  |      |                |                         |                               |  |  |

|             | ı             | Foreign Patent Document |   | Name of Patentee or Applicant                     | Date of Publication of   | Pages, Columns,<br>Lines,   | ۱.   |
|-------------|---------------|-------------------------|---|---|--|---|--|
| No.         | Office Number |                         | Kind<br>Code (if<br>Known)                        | of Cited Document                                 | Cited Document<br>MM-DD-YYYY   | Where Relevant<br>Passages or Relevant<br>Figures Appear  | ľ  |
| 1           | PCT           | WO 97/32543             |   | Divysio Solutions Ltd.                            | 12/12/1997   |   | Y  |
| $\square Z$ | PCT           | WO 97/25937             |   | David G. Jang                                     | 07/24/1997   |   | Y  |
| , v′        | PCT           | WO 99/15108             |   | Med Institute, Inc;<br>Cook Inc.                  | 04/01/1999   |   | Υ  |
| <u> </u>    |               | -                       | -   |   |  |   | ┞  |
|             |               |                         |   |   |  |   |  |
|             |               |                         |   |   |  |   |  |
|             |               |                         |   |   |  |   |  |
|             |               | PCT                     | PCT WO 97/32543  PCT WO 97/25937  PCT WO 99/15108 | PCT WO 97/32543  PCT WO 97/25937  PCT WO 99/15108 | PCT WO 97/32543 Divysio Solutions Ltd.  PCT WO 97/325937 David G. Jang PCT WO 99/15108 Med Institute, Inc; | PCT WO 97/32543 Divysio Solutions Ltd. 12/12/1997  PCT WO 97/25937 David G. Jang 07/24/1997  PCT WO 99/15108 Med Institute, Inc; 04/01/1999 | PCT WO 97/32543 Divysio Solutions Ltd. 12/12/1997  PCT WO 97/325937 David G. Jang 07/24/1997  PCT WO 99/15108 Med Institute, Inc; 04/01/1999 |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |             |   |    |  |  |  |  |
|---|-------------|---|----|--|--|--|--|
| Examiner<br>Initials                              | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, cashiog, etc.), date, page(s), volume-issue number(s), publisher, of yadro'curulny where published | T* |  |  |  |  |
|   |             |   |    |  |  |  |  |
|   |             |   |    |  |  |  |  |
|   |             |   |    |  |  |  |  |
|   |             |   |    |  |  |  |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
| 100516                |                    |  |